

PTO/SB/17 (1-03)

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FEET TRANSMITTAL

for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.



Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$ 130.00)

Complete if Known

Application Number	10/781,404
Filing Date	02/18/2004
First Named Inventor	Porter C. Shannon
Examiner Name	Unassigned
Art Unit	1713
Attorney Docket No.	2003U038.US

METHOD OF PAYMENT (check all that apply)

<input type="checkbox"/> Check	<input type="checkbox"/> Credit card	<input type="checkbox"/> Money Order	<input type="checkbox"/> Other	<input type="checkbox"/> None
<input checked="" type="checkbox"/> Deposit Account:				

Deposit Account Number

50-0589

Deposit Account Name

Univation Technologies

The Commissioner is authorized to (check all that apply):
 Charge fees indicated below Credit any overpayments
 Charge any additional fees during the pendency of this application
 Charge any fees indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee	Fee	Fee Description	Fee Paid
Fees	Fees	Fees	Fees		
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)		
1001	750	2001	375	Utility filing fee	
1003	330	2002	165	Design filing fee	
1009	520	2003	260	Plant filing fee	
1004	750	2004	375	Release filing fee	
1003	160	2005	80	Provisional filing fee	
SUBTOTAL (1) (\$)				0.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from table below	Fee Paid
0	-20 ¹	0 X 18 = 0	0
Independent Claims	0	0 X 84 = 0	0
Multiple Dependent	0	-3 ² = 0	0
280 + 0			

Large Entity	Small Entity	Fee Description	Fee Paid
Fees	Fees	Fees	Fees
Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)
1200	18	2202 9	Claims in excess of 20
1201	84	2201 42	Independent claims in excess of 3
1203	280	2206 140	Multiple dependent claim. If not paid
1204	84	2204 42	** Release independent claims over original patent
1205	18	2205 9	** Release claims in excess of 20 and over original patent
SUBTOTAL (2) (\$)			

¹For number previously paid, if greater. For Release, see above²For number previously paid, if greater. For Release, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051	65 Surcharge - late filing fee or cash	130.00
1052	56	2052	25 Surcharge - late provisional filing fee or cover sheet	
1063	130	1063	130 Non-English specification	
1612	2,820	1612	2,820 For filing a request for ex parte reexamination	
1804	80	1804	80 Requesting publication of SIR prior to Examiner action	
1805	1,840	1805	1,840 Requesting publication of SIR after Examiner action	
1291	110	2251	65 Extension for reply within first month	
1292	410	2252	265 Extension for reply within second month	
1293	930	2253	465 Extension for reply within third month	
1294	1,450	2254	725 Extension for reply within fourth month	
1295	1,970	2255	985 Extension for reply within fifth month	
1491	320	2401	160 Notice of Appeal	
1492	320	2402	160 Filing a brief in support of an appeal	
1493	260	2403	140 Request for oral hearing	
1491	1,810	1451	1,810 Petition to institute a public use proceeding	
1492	110	1492	55 Petition to revive - unavoidable	
1493	1,300	2455	650 Petition to revive - unintentional	
1501	1,300	2501	650 Utility issue fee (or release)	
1502	470	2502	235 Design issue fee	
1503	695	2503	315 Plant issue fee	
1460	150	1460	130 Petitions to the Commissioner	
1807	50	1907	50 Processing fee under 37 CFR 1.17(b)	
1806	100	1906	160 Submission of Information Disclosure Stmt	
6021	40	6021	40 Recording each patent assignment per property (times number of properties)	
1809	750	2808	375 Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375 For each additional invention to be examined (37 CFR 1.129(b))	
1801	750	3801	375 Request for Continued Examination (RCE)	
1805	900	1802	900 Request for expedited examination or a design application	
Other fee (specify)				

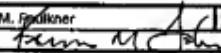
*Reduced by basic Filing Fee Paid

SUBTOTAL (3) (\$)

130.00

SUBMITTED BY

(Complete if applicable)

Name (Print/Type)	Kevin M. Radtke	Registration No. (Attorney/Agent)	45,427
Signature		Telephone	713-592-3729

Date

5-26-04

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit which is the public which is to be paid (and by the USPTO to process). The burden for this collection is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2003

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First Named Inventor	Porter C. Shannon
Examiner Name	Unassigned
Art Unit	1713
Attorney Docket No.	2003U036.US

METHOD OF PAYMENT (check off that apply)



Check



Credit card



Deposit Order



Other



Note



Deposit Account

50-0589

Deposit Account Number

Deposit Account Name

Univation Technologies

The Commissioner is authorized to check off that apply

Charge fees(s) indicated below Credit any overpayments

Charge any additional fee(s) during the pendency of this application

Charge fees(s) indicated below, except for the filing fee

To the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Code 101	Code 102		
1001 750	2001 375	Utility filing fee	
1003 330	2002 185	Design filing fee	
1004 520	2003 285	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	
SUBTOTAL (1) (3)		0.00	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from table	Fee Paid
0	-20 ^{**} =	0	
Independent	0 - 3 ^{**} =	0	
Claims		18	
Multiple Dependent		0	
SUBTOTAL (2) (3)		0.00	

*Per number previously paid. If greater, for Reissues, see above

**Reduced by basic filing fee paid

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (3)	Fee Code (8)	Fee Description	Fee Paid
1081 130	2051 66	Surcharge - late filing fee or cash	
1052 56	2052 35	Surcharge - late provisional filing fee or cover sheet	
1053 130	1053 150	Non-English specification	
1012 2,520	1012 2,520	For filing a request for ex parte reexamination	
1004 820 [*]	1004 820 [*]	Requesting publication of Soft prior to Examiner action	
1005 1,640 [*]	1005 1,640 [*]	Requesting publication of SIR after Examiner action	
1231 110	1231 110	Extension for reply within first month	
1252 410	1252 205	Extension for reply within second month	
1255 830	1255 465	Extension for reply within third month	
1254 1,450	1254 726	Extension for reply within fourth month	
1255 1,870	1255 966	Extension for reply within fifth month	
1401 320	1401 180	Notice of Appeal	
1402 320	1402 180	Filing a brief in support of an appeal	
1403 280	1403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	1452 66	Petition to revive - involuntary	
1453 1,300	1453 850	Petition to revive - unintentional	
1801 1,600	1801 600	Utility issue fee (or reissue)	
1803 470	1803 238	Design issue fee	
1503 650	1503 315	Plant issue fee	
1480 130	1480 130	Petition to the Commissioner	
1807 90	1807 90	Processing fee under 37 CFR 1.17(d)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
1821 40	1821 40	Recording each patent assignment or property (times number of properties)	
1805 750	1805 375	Filing a submission after final rejection (37 CFR 1.126(a))	
1410 750	1410 375	For each additional invention to be examined (37 CFR 1.126(b))	
1801 750	1801 375	Request for Continued Examination (RCE)	
1602 900	1602 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by basic filing fee paid

COPY

SUBTOTAL (3) (3) 130.00

SUBMITTED BY _____

(Complete if applicable)

Name (First/Last) Kevin M. Reddick

Registration No. 45,427

Signature 

Telephone 713-692-3729

Date 5-26-04

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to be used by the USPTO to process an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application forms to the USPTO. Time will vary depending upon the individual case. Any comment on the burden estimate or any request for additional information should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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Univation Technologies, LLC 5555 San Felipe, 19th Floor, Houston, Texas 77056 United States of America

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TECHNOLOGIES

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TO: MAIL STOP MISSING PARTSCommissioner for PatentsP.O. Box 1450Alexandria, VA 22313-1450**Attn:**Telephone: (703) 308-4357Facsimile (703) 872-9306Date: Wednesday, May 26, 2004Number of pages including cover sheet: 9**FROM: KEVIN M. FAULKNER**Univation Technologies, LLC5555 San Felipe Road, Suite 1950Houston, Texas 77056Telephone (713) 892-3729Facsimile (713) 892-3687

REMARKS: **Urgent** **For your review** **Reply ASAP** **Please comment**

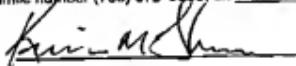
RE: **U.S.S.N.: 10/781,404**
Filing Date: 02/18/2004
Attorney Docket No.: 2003U038.US

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence, consisting of a Notice to File Missing Parts of a Nonprovisional Application, a Fee Transmittal in duplicate and an executed Declaration (4 pages) are being facsimile transmitted to the United States Patent and Trademark Office, to facsimile number (703) 872-9306, on Wednesday, May 26, 2004.

May 26/04

Date



Kevin M. Faulkner
 Registration No. 45,427
 Attorney for Applicant(s)

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